



# Spatial segregation between phenotypes of the diabolitin black-capped petrel *Pterodroma hasitata* during the non-breeding period

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**ABSTRACT:** Despite growing support for ecosystem-based approaches, conservation is mostly implemented at the species level. However, genetic differentiation exists within this taxonomic level, putting genetically distinct populations at risk of local extinction. In the diabolitin black-capped petrel *Pterodroma hasitata*, an endangered gadfly petrel endemic to the Caribbean, 2 phenotypes have been described: a smaller dark form and a heavier light form, which are genetically distinct. To assess possible differences in the marine distributions of phenotypes, in May 2019, we captured 5 adult black-capped petrels of each phenotype at sea in the western North Atlantic and equipped them with satellite transmitters. We used generalized linear mixed models to test the importance of phenotype on geographic distribution. Using kernel density estimations, we located use areas, quantified spatial overlap between forms, and assessed form-specific exposure to marine threats. Petrels were tracked for 11 to 255 d (mean  $\pm$  SD: 102.1  $\pm$  74.2 d). During the non-breeding period, all individuals ranged from 28.4 to 43.0° latitude. Phenotypes had significantly distinct non-breeding distributions, independent of time of year. The dark form used waters of the Carolinian marine ecoregion, and the light form used pelagic waters of the Virginian ecoregion, to the north. The dark form was more exposed to marine threats than the light form, in particular to mercury, microplastics, and marine traffic. The light form overlapped with proposed wind energy areas off the central US coast. These differences in exposure suggest possible differences in vulnerability, which can have repercussions on the viability of this imperiled species.

**KEY WORDS:** Distribution · Phenotype · Seabird · Threat exposure · Conservation

## 1. INTRODUCTION

Identifying behavioral and ecological traits of endangered species, such as seasonal distributions, migration patterns, habitat use, or trophic niche space, is critical to inform and prioritize conservation actions. These traits can act as ultimate drivers of

and/or become consequences of genetic differentiation among populations (Bridle et al. 2004, Hellberg 2009, Ribeiro et al. 2012, Ryan et al. 2014, Friesen 2015, Lombal et al. 2020, Mancilla-Morales et al. 2020). In species that exhibit strong genetic differentiation, a limited understanding of behavioral and ecological traits and a subsequent lack of conserva-

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tion actions focused at the population level could lead, either directly or indirectly, to reductions in population sizes or even to the loss of entire populations (Gaston 2001, but see Ennos et al. 2005, Winker 2010, Danckwerts et al. 2021). To effectively inform conservation, trait-based assessments of vulnerability require a proximate understanding of a population's sensitivity (the degree to which it is affected by a stressor), adaptive capacity (its ability to adapt to or recover from a stressor), and exposure (Butt et al. 2022). Therefore, understanding the spatial scales at which ecological and behavioral traits might vary, and at which threats may affect populations, has direct implications for conserving representation (the existence of genetic and phenotypic diversity) and redundancy (the capacity to persist despite the loss of a population) within a species.

Seabirds are highly vagile animals that are geographically constrained to a limited number of terrestrial nesting areas (e.g. oceanic islands or coastal areas) during the breeding season but often migrate to different marine ecoregions or even different ocean basins during the non-breeding season (Croxall et al. 2005, Shaffer et al. 2006, Alerstam et al. 2019). Therefore, seabirds experience exposure to marine threats (including but not limited to fisheries bycatch, overfishing, pollution, and attraction to and collisions with ships and structures; Ronconi et al. 2015, Dias et al. 2019) across a wide geographic footprint. Due to the remote nature of their distribution, our ability to assess the exposure of seabirds to threats is often limited to calculating the spatiotemporal overlap between a population and the threats impacting it (Pereira et al. 2021, Fischer et al. 2021). Although this macro-scale exposure (Burger et al. 2011) does not necessarily imply interaction with marine threats, it is often used as a reasonable proxy for potential exposure (Le Bot et al. 2018).

Historically, the marine distribution of seabirds was assessed through systematic at-sea surveys and opportunistic day trips. While highly informative, these surveys are often limited in geographic scope, do not distinguish characteristics of individuals observed, such as phenotype, sex, or breeding status, and do not provide information on connectivity among oceanic basins or between breeding sites and marine areas (Carroll et al. 2019). In contrast, tracking individuals does allow for the collection of the aforementioned data and, therefore, also allows for the opportunity to investigate potential drivers of genetic differentiation and threats affecting populations. Nevertheless, the extent of available tracking data in terms of species tracked, populations tracked within

species, and sample sizes within tracking studies is often limited for many species that are globally threatened or endangered (Bernard et al. 2021) or that are geopolitically biased in the location of breeding sites (e.g. fewer studies of tropical vs. temperate species; Mott & Clarke 2018).

The diablotin, or black-capped petrel *Pterodroma hasitata*, is an endangered gadfly petrel endemic to the Caribbean and occurs in waters of the western North Atlantic Ocean, Caribbean Sea, and Gulf of Mexico (Simons et al. 2013, Jodice et al. 2015, 2021). With a global population estimated at 2000–4000 individuals (BirdLife International 2023), the species is considered Endangered throughout its range (BirdLife International 2018) and is being considered by the US Fish and Wildlife Service for listing as threatened under the US Endangered Species Act (US Fish and Wildlife Service 2018). Known breeding populations are fragmented into 5 distinct breeding areas on the island of Hispaniola (although breeding is suspected in Dominica and Cuba; Wheeler et al. 2021).

Two color forms have been described (dark and light, with intermediate phenotypes) that differ in size, mass, and the amount of white plumage on the face, back of the neck, and underwing feathers (Howell & Patteson 2008) (Fig. S1 in the Supplement at [www.int-res.com/articles/suppl/n051p183\\_supp.pdf](http://www.int-res.com/articles/suppl/n051p183_supp.pdf)). The species has a fixed population structure (assessed by the number and proportion of fixed mutations in haplotypes; Manly et al. 2013) and strong genetic divergence between the 2 forms, with light and intermediate forms belonging to a unit separate from the dark form (Manly et al. 2013). Allopatric and temporal reproductive isolation has been described as the most probable mechanism for genetic differentiation (Haney 1987, Manly et al. 2013). For example, the dark form breeds at all 5 sites, but the light form is known to breed only at one, in the central Dominican Republic (E. Rupp pers. comm.). Analysis of molt patterns and data from remote cameras suggests that the light form breeds from early October to late April, while the dark form breeds from mid-November to mid-June (Howell & Patteson 2008, Manly et al. 2013, E. Rupp pers. comm.). At sea, observations obtained primarily from ship-based surveys suggest that both forms use similar areas during the non-breeding season (Howell & Patteson 2008, Simons et al. 2013), and that differences in foraging strategies do not appear to occur (see Haney 1987). However, pelagic observations in other areas of the species' Atlantic range have become increasingly available in the last decade and suggest that dark individuals are more com-

monly observed in the south and light individuals in the north (eBird 2022).

This apparent dual distribution may subject each form of the black-capped petrel to different levels of exposure to marine threats, further complicating conservation planning. For example, black-capped petrels show high levels of mercury bioaccumulation (Simons et al. 2013, Y. Satgé unpubl. data, K. Sutherland unpubl. data), which may have both individual- and population-level consequences (Tartu et al. 2013, Goutte et al. 2014, Bond et al. 2015). Petrels are also expected to be affected by marine microplastics (Dias et al. 2019) due to their diet and limited capacity to regurgitate plastic fragments (Furness 1985, Moser & Lee 1992, Rodríguez et al. 2019). *Pterodroma* petrels are considered to be less susceptible to fisheries bycatch than larger pelagic species (Waugh et al. 2012) but they are not immune to it (Richard et al. 2017, Parker & Rexer-Huber 2019, Rexer-Huber & Parker 2019). Although black-capped petrels have yet to be reported as bycatch in the western North Atlantic (Li et al. 2016), they may still be at risk of bycatch in pelagic longline fisheries (Zhou et al. 2019). Furthermore, black-capped petrels scavenge on chum and discards, which may expose them to collisions with cables used in trawl fisheries (including net-sonde cables, which are still used in the region), a type of bycatch that is often overlooked by on-board observers (Debski & Pierre 2014). In addition to fishing vessels, shipping (which includes cargo, tankers, and cruise ships) can have adverse effects on petrels through attraction to and collision with lighted vessels (Glass & Ryan 2013, Ryan et al. 2021, Copesey 2022), pollution (Heubeck et al. 2003, Fox et al. 2016, King et al. 2021), and displacement (Lieske et al. 2020). Finally, petrels may be adversely affected by marine energy activities, including petroleum exploration and extraction as well as offshore wind energy development and production. Petrels are susceptible to light attraction (from production platforms, construction and support vessels, and gas flaring; Ronconi et al. 2015, Fraser & Carter 2018), contaminants (accidental oil spills and regular discharge of produced waters, through contact or bioaccumulation; Fraser et al. 2006, Ronconi et al. 2015, Jodice et al. 2021), and displacement. In the US Central Atlantic, the black-capped petrel is the avian species with the highest population sensitivity to offshore wind facilities and has a high sensitivity to collision and displacement (Robinson Willmott et al. 2013).

Given its small population size and its exposure to substantial threats at breeding sites (Wheeler et al. 2021), even low levels of adult mortality resulting from these marine threats can adversely affect the

viability of the species. Therefore, in the spring of 2019, we attempted to assess the distribution of both phenotypes of black-capped petrel using satellite telemetry on individuals captured at sea. The objectives of this study were to (1) evaluate differences in the non-breeding distributions of both forms and (2) assess macro-scale exposure to marine threats in both forms. As a first step towards assessing the species' vulnerability to marine threats, a better understanding of how the phenotypes use the marine environment will elucidate possible differences in ecological niches and their roles in driving black-capped petrel exposure. In addition, given increased interest in the production of marine renewable energy in the pelagic environment off the eastern USA, the results of this study will help inform planning decisions that take into account the conservation of this endangered species.

## 2. MATERIALS AND METHODS

### 2.1. Fieldwork

At-sea captures occurred during May 2019 in Gulf Stream waters, ~60 km southeast of Cape Hatteras, North Carolina, USA, an area where foraging black-capped petrels are commonly found during the non-breeding season (Simons et al. 2013, Jodice et al. 2015) (Fig. 1). We chose to capture birds during the northern spring because individuals of the light form of black-capped petrel appear to be more common off Hatteras at this time of year, whereas dark-form petrels appear to be more common during the late summer and fall (Howell & Patteson 2008). After sunrise, we located black-capped petrels from a ~20 m research vessel with the aid of chumming (a mixture of fish meal and shark liver oil). Upon detection of petrels, we deployed a metal cage (~20 × 20 × 40 cm) that was secured to a drifting vinyl mooring buoy and contained blocks of frozen chum, and launched a ~3 m motorized inflatable boat with 2 occupants: a pilot and a catcher. We positioned the inflatable boat upwind from the buoy, keeping the bow of the boat and the catcher facing downwind. We attempted to capture black-capped petrels that had flown upwind along the oil slick and approached within 10–15 m forward of the bow. We used a modified air-propelled whale tagger (ARTS Whale Tagger), custom-fitted to launch 4 narrow PVC tubes (approx. 50 × 1.5 cm diameter; designed to float) attached to the corners of a 4 × 4 m mist net (adapted from Rayner et al. 2020). The net launcher was powered by compressed air

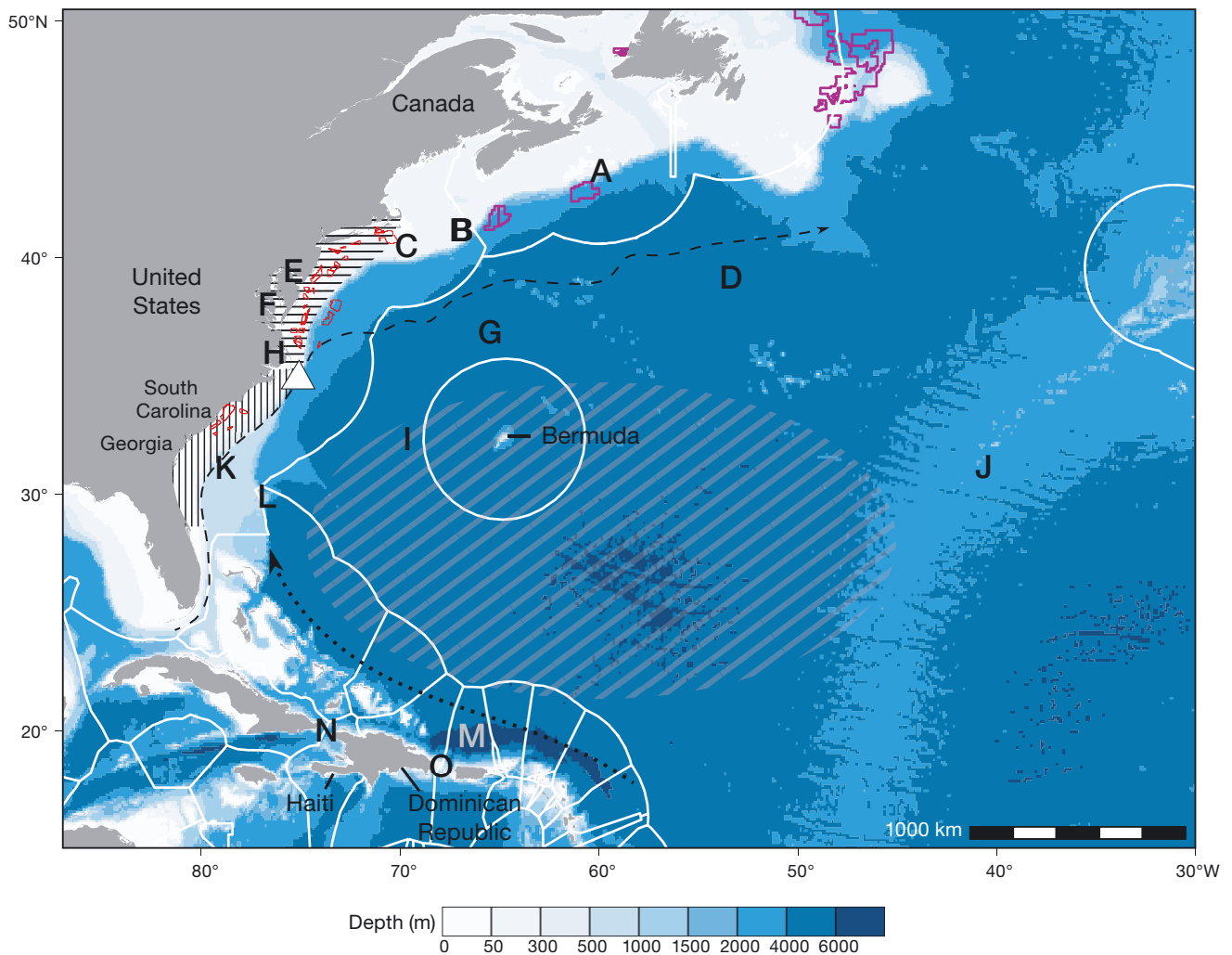


Fig. 1. Study area. White triangle: capture location. Letters indicate geographic and oceanographic features. A: Banquereau Bank; B: Georges Bank; C: Nantucket Shoals; D: Sohm Plains; E: Delaware Bay; F: Chesapeake Bay; G: Caryn Seamount; H: Cape Hatteras; I: Hatteras Plains; J: Mid-Atlantic Ridge; K: Charleston Bump; L: Blake Spur; M: Puerto Rico Trench; N: Windward Passage; O: Mona Passage. Black lines: general location of the western edge of the Gulf Stream (dashed) and the Antilles Current (dotted). Horizontal hatching: the Middle Atlantic Bight; vertical hatching: South Atlantic Bight; grey hatched area: general extent of the Sargasso Sea. White polygons: exclusive economic zones. Purple polygons: petroleum leases; red polygons: offshore wind leases. Black-capped petrels have been confirmed to nest in Haiti and the Dominican Republic

from a dive tank. Upon capture, we transferred petrels to the research vessel for processing and transmitter deployment. This transfer lasted <3 min.

After assessing captured petrels for general condition, we measured body mass ( $\pm 5$  g), tarsus ( $\pm 0.1$  mm), wing cord ( $\pm 1$  mm), exposed culmen length ( $\pm 0.1$  mm), and bill depth at gonyx ( $\pm 0.1$  mm). We banded petrels with individually numbered metal bands (US Geological Survey [USGS] Bird Banding Laboratory). We collected a few drops of blood from one metatarsal vein for genetic sexing. We photographed the birds' profiles and upper- and underwings and classified them as dark, intermediate, or light forms. In addition, we assessed the age classes of the birds based on the

shape and aspect of secondary flight feathers: we assumed that first-year juveniles had pointy feathers with a uniform aspect (due to limited wear and uniform growth), and immatures (1–4 yr) and adults had worn secondaries with a varied aspect (due to scattered molting of flight feathers) (K. Sutherland pers. comm.). Finally, we recorded any molting of flight feathers. We used a *t*-test to compare each morphometric measurement between forms (for all statistical analyses, we grouped light and intermediate forms following Manly et al. 2013).

We deployed solar-powered platform terminal transmitters (PTTs) (GT-5GS, GeoTrak, 5 g,  $n = 8$ ; 5g-Solar-PTT, Microwave Telemetry, 5 g,  $n = 2$ ) on

petrels whose body mass was  $>350$  g. For the Geo-Trak PTTs, we chose a duty cycle of 6 h on, 28 h off to benefit from the most extensive tracking time while optimizing battery usage; Microwave Telemetry PTTs had a pre-set duty cycle of 5 h on, 48 h off. All PTTs were custom-fitted with a base of marine-grade epoxy  $\sim 2$  mm in thickness, in which 4 tubular channels were made perpendicular to the length of the PTT. Customized PTTs weighed 8.5 g ( $\leq 2.5\%$  of body mass of the lightest petrel in Simons et al. 2013, Jodice et al. 2015, and Satgé et al. 2019). We deployed PTTs dorsally between the wings and centered above the vertebrae, using 4 subcutaneous sutures and a small amount of glue (sensu Jodice et al. 2015). Before release, we placed equipped birds in a holding crate lined with a dry cloth towel until chest feathers were preened ( $\sim 20$  min).

Molecular sexing was performed at the Centro de Ecologia, Evolução e Alterações Ambientais, University of Lisbon, Portugal, following Fridolfsson & Ellegren (1999) with primers 2550F and 2718R. All animal handling was performed under Clemson University's Animal Care and Use protocol AUP2019-033. Banding and PTT deployment were authorized by the USGS Bird Banding Lab (permit #22408).

## 2.2. Spatial analysis of tracks

Tracking data were imported to Movebank (<https://www.movebank.org>) via Service Argos. Argos applies a Kalman Filter model and provides error statistics and a quality class (3, 2, 1, 0, B, A, Z, in decreasing order of quality) for each estimated location. To improve the accuracy of Argos location estimates, we filtered locations and estimated the most probable 'true' location using a continuous-time random walk state-space model (package 'foieGras' in R; Jonsen et al. 2019). The mean duration between 2 consecutive Argos locations was 32 min; therefore, we used a time-step parameter of 30 min; we also included a maximum flight speed of  $20 \text{ m s}^{-1}$  as a model parameter. Fitting algorithms can estimate locations during periods when PTTs are off but the precision of fitted locations decreases during long periods without transmissions, and unrealistic estimations may occur. Therefore, instead of filtering fitted locations to an arbitrary time period (e.g. 1–2 h from first or last Argos location during an 'on' period), we selected fitted locations in on and off periods based on their spatial standard errors. Hence, we kept only fitted locations where the standard error for longitude and latitude was less than

the 95<sup>th</sup> percentile of the error radius of location classes 0–3 (10.3 km; Table S1).

We restricted analysis of location data to a period of time during which most birds were tracked, removing data from individuals tracked for less than 20 d ( $n = 3$ ). On 18 July, a single individual started traveling eastward towards the mid-Atlantic ridge until 1 August, at which point the PTT stopped transmitting (see Figs. 2 & S2). This behavior is consistent with vagrancy observed in black-capped petrels (Simons et al. 2013); therefore, we considered these locations as outliers (they accounted for 1.3% of the total number of locations). This filtering resulted in a study time frame of 14 May to 25 August 2019, which encompassed 70% of all locations and included 7 individual birds (dark:  $n = 3$ ; light:  $n = 4$ ). After determining that the distributions of latitude and longitude in each phenotype were not normally distributed (Shapiro-Wilk normality test with  $p < 0.005$  for both groups), we compared longitudinal and latitudinal distributions between dark and light forms using Wilcoxon rank sum tests. We estimated the magnitude of difference between groups by calculating Cohen's  $d$  effect size (function 'cohen.d' in package 'effsize' in R; Torchiano 2020). Cohen's  $d$  is defined as the difference between 2 means divided by a standard deviation for the data. Cohen (1988) suggested quantitative descriptors as follows:  $d \leq 0.2$  represents a small effect,  $0.2 < d \leq 0.5$  a moderate effect, and  $d > 0.5$  a large effect (but see Sawilowsky 2009 for a discussion of descriptors). Because of the imbalanced number of individuals of each sex ( $n = 2$  females and  $n = 5$  males), we did not assess the effect of sex on petrel distribution.

The use of foraging areas may also depend on the time of year, an individual's phenology, or on individual variability. Marine spatial data in the western North Atlantic is inherently constrained by the continental coastline of the eastern USA (roughly a southwest-to-northeast diagonal; Fig. 1), and the distributions of latitude and longitude in black-capped petrel data were non-parametric. Therefore, to compare latitudinal and longitudinal distributions with phenotype, we used generalized linear mixed models with a gamma distribution and inverse link, and included date and individual as random effects (function 'glm' in package 'stats' in R). The number of locations per tracked day per individual ranged from 1–17 (mean: 5.9). To avoid any variations within individuals within dates, we used the latitude and longitude of the mean daily location for each individual. We did not include longitude as a fixed effect in the model of latitude nor did we include latitude as a fixed effect in



the model of longitude because latitude and longitude were correlated (Spearman's correlation index  $\rho = 0.72$ ,  $p < 0.005$ ). We estimated the importance of phenotype as the absolute value of the  $t$ -statistic for each model (package 'caret' in R; Kuhn et al. 2020).

We calculated utilization distributions (UDs) for both phenotypes using kernel density estimations in package 'adehabitat' in R (Calenge 2006; with smoothing parameter  $h = 0.3$  and grid = 1000). We chose the smoothing parameter through successive trials and retained a value that conserved sufficient details in distribution patterns to locate high-use areas without excessive smoothing. Within a form, all individuals were grouped. We estimated the amount of spatial overlap among home range (90% UD) and core (50% UD) areas between the 2 forms. We also quantified the extent of spatial overlap in the home range and core areas of both forms using Bhattacharyya's affinity (BA). BA is a function of the product of the UD of 2 populations (here dark and light forms) that assumes each population uses space independently from the other (Fieberg & Kochanny 2005). A BA value = 0 indicates no overlap while a BA value = 1 indicates complete overlap. Finally, we estimated overlap of the 2 populations with exclusive economic zones (EEZs; VLIZ 2019) and marine ecoregions (Spalding et al. 2007) by calculating the proportion of the 50 and 90% UD of each phenotype within EEZs and international waters, and ecoregions and high seas, respectively.

### 2.3. Overlap with habitat features and marine-based threats

The black-capped petrel is considered to be strongly associated with the Gulf Stream off the southeast coast of the USA (Haney 1987, Jodice et al. 2015, Winship et al. 2018), so we examined differences between dark and light forms of black-capped petrels in their use of 2 key habitat features: ocean depth and sea surface temperature (SST). We did so by calculating spatial statistics for ocean depth (raster ETOPO1; 1 arc-minute; Amante & Eakins 2009) and SST (raster HYCOM; 0.08 arc-degree; May–September 2019; Cummings & Smedstad 2013) for all raster cells overlapping with 50 and 90% UD of each form. We also compared differences in the distributions of environmental values (i.e. the depth and SST raster values extracted from all cells overlapping UD) between phenotypes using Wilcoxon rank sum tests.

We then sought to quantify differences between dark and light forms of black-capped petrels in terms

of their macro-exposure to marine-based threats in the western North Atlantic. We defined macro-scale exposure as the level of occurrence of a threat within the home range and core areas of each phenotype, sensu Burger et al. (2011) and Waggitt & Scott (2014). We included assessments of mercury, plastics, fisheries, shipping, and marine energy. We assessed the potential exposure of black-capped petrels to mercury using, as a proxy, a raster file of modeled total present-day mercury concentrations in the mixed layer (i.e. from the ocean surface to 50 m depth; Fig. 3a in Zhang et al. 2014) with a resolution of  $1 \times 1^\circ$  (between  $80 \times 100$  and  $100 \times 122$  km, depending on latitude). We quantified potential exposure to plastics using, as a proxy, global models of the spatial distribution of microplastics (van Sebille et al. 2015). To quantify potential exposure to plastics, we averaged concentrations of microplastics ( $\text{g km}^{-2}$ ) predicted by the Maximenko, Lebreton, and van Sebille models at the original  $1 \times 1^\circ$  scale (Fig. 3 in van Sebille et al. 2015). We obtained data on daily commercial fishing effort from Global Fishing Watch (<http://globalfishingwatch.org/>; accessed 1 July 2021). By combining satellite tracking of commercial fishing vessels equipped with automatic identification systems and convolutional neural networks, the activity of >70 000 vessels larger than 15 m (>50–70% of the global fishing effort) is categorized as fishing or not fishing (Kroodsma et al. 2018). We summed all available daily effort data from May to August 2019 at  $0.1^\circ$  cell resolution (between  $8 \times 9.5$  and  $10 \times 12.5$  km depending on latitude). To assess overlap with marine traffic, we used a data set of annual vessel transit counts collected from automatic identification systems (where a single transit count corresponds to each time a vessel track passes through, starts, or stops within a 100 m grid cell) provided by the US Office for Coastal Management (2021). We aggregated raster data attributed to cargo, tanker, and all other vessel types (which includes fishing, passenger, pleasure craft and sailing, and tug and towing), for 2017 (the latest available year) at a resolution of  $10 \times 10$  km. We obtained spatial data sets of active oil and gas exploration areas from the Canada–Nova Scotia Offshore Petroleum Board (<https://www.cnsopb.ns.ca/resource-library/maps-and-coordinates>; accessed 1 November 2021) and the Canada–Newfoundland and Labrador Offshore Petroleum Board (<https://www.cnlopb.ca/information/shapefiles/>; accessed 1 November 2021) and wind energy production data from the US Bureau of Ocean Energy Management (<https://www.boem.gov/renewable-energy/mapping-and-data>; accessed 22 March 2023). To simplify analyses, we merged individual lease plots occurring

within a US state and occurring in Newfoundland and Labrador (Canadian hydrocarbon areas) into project-scale lease areas (i.e. one lease area per administrative area). We also merged lease areas occurring in the US states of Rhode Island and Massachusetts into a single lease unit.

For each of the above attributes, we calculated spatial statistics from the values of all raster cells overlapping with 50 and 90% UD: mean, minimum, maximum, standard deviation, and interquartile range (IQR). For fishing effort and ship transit, we also calculated the percentage of cells exposed to the threat as well as the sum of fishing effort and ship transit in both UD. Shapiro-Wilk tests indicated that habitat features and threats followed non-parametric distributions; therefore, we assessed differences in the numeric distribution of threat values between phenotypes using Wilcoxon rank sum tests. We estimated the magnitude of the difference between groups by calculating Cohen's *d* effect size. We assessed exposure to marine energy production by calculating the proportion of the 50 and 90% UD of each phenotype within the footprint of hydrocarbon and wind leases. We also calculated the number of individual petrels and individual tracking locations in lease areas along with the shortest distance between a tracking location and a lease area. For this descriptive analysis, we used data from the whole tracking period.

All statistical and spatial analyses were performed in R version 3.6.3 (R Core Team 2020). For

all statistical analyses, we chose a significance level of  $\alpha = 0.05$ .

### 3. RESULTS

Capture attempts occurred on 8, 9, 11, and 14 May 2019 within a 25 km radius of 34.78°N, 75.33°W, along the continental slope of the eastern USA and the western edge of the Gulf Stream (Fig. 1). Capture effort ranged from 3.0–6.5 h on each of the 4 capture days. We captured 2 birds on 8 May, 4 birds on 9 May, 0 birds on 11 May, and 4 birds on 14 May. Sea state varied from Beaufort 2–5 among the 4 capture days (Fig. 1), with the lowest sea state occurring on 11 May when no birds were captured. Approximately 50% of capture attempts (i.e. nets launched) resulted in a successful capture. Sex ( $n = 3$  females,  $n = 7$  males) and morphometric data are summarized in Table 1. Of the 10 black-capped petrels we instrumented, we classified 5 birds as dark forms, 4 as light forms, and one as intermediate (Table 1). None of the petrels were first-year juveniles, but we could not assess age further and thus separate between immatures (1–4 yr) and adults (>4 yr; Simons et al. 2013). Morphometrics did not differ between sexes ( $p > 0.05$  for all tests) (Table 1). Dark forms appeared smaller than light and intermediate forms in all metrics, but the differences were only

Table 1. Phenotype and morphometrics of black-capped petrels captured off Cape Hatteras, North Carolina, USA, May 2019. All molting feathers were in sheaths and growing. N: no molt was observed; P: primary flight feathers; S: secondary flight feathers. \*significant difference ( $p < 0.05$ ) between dark and light forms

Bird ID	Capture date	Sex	Mass (g)	Tarsus (mm)	Culmen (mm)	Bill depth (mm)	Wing cord (mm)	Molt
<b>Dark forms</b>								
469	8 May 2019	M	370	39.5	32.6	14.4	295	N
464	8 May 2019	F	390	38.7	32.5	13.7	292	N
466	9 May 2019	M	380	41.7	31.5	14.0	290	N
442	14 May 2019	M	380	39.2	34.8	13.6	280	N
441	14 May 2019	M	380	39.0	32.1	14.0	287	N
Average			380.0	39.6	32.7*	13.9	288.8*	
SD			6.32	1.07	1.12	0.28	5.11	
<b>Light and intermediate forms</b>								
468	9 May 2019	M	420	39.1	35.3	14.3	300	P1–2
462	9 May 2019	M	375	40.2	35.0	14.0	299	P3–4, S3
467 <sup>a</sup>	9 May 2019	M	410	40.6	34.6	13.6	297	P2–4
465	14 May 2019	F	390	41.1	36.0	14.1	315	P2–3
463	14 May 2019	F	460	41.4	36.0	14.4	305	P1–2
Average			411.0	40.5	35.4*	14.1	303.2*	
SD			29.05	0.80	0.55	0.28	6.46	
<sup>a</sup> Intermediate form								

Table 2. Summary of tracking period and geographic range of black-capped petrels tracked from May 2019–January 2020. Dates are given as yyyy-dd-mm. **Bold** lettering indicates dark phenotypes

Bird ID	First transmission date	Last transmission date	No. of tracking days	Latitudinal range (°N)	Longitudinal range (°W)	General areas used
<b>469</b>	2019-05-08	2019-05-25	17	30.3–35.8	80.2–74.5	South Atlantic Bight: continental shelf
<b>464</b>	2019-05-08	2019-08-25	109	29.4–38.5	78.2–70.3	Off Virginia: high seas
468	2019-05-09	2019-08-31	114	35.6–40.4	75.4–62.8	Off Delaware–New Jersey: high seas
<b>466</b>	2019-05-09	2019-05-20	11	31.5–37.4	78.9–72.8	South Atlantic Bight: continental shelf
462	2019-05-09	2019-11-14	189	17.4–43.1	75.9–56.3	Off Delaware–Connecticut: high seas; Dominican Republic
467	2019-05-09	2019-09-09	123	34.7–40.6	75.4–64.0	Off Virginia–New Jersey: high seas
<b>442</b>	2019-05-14	2020-01-24	255	17.3–38.0	80.1–64.1	Off South Carolina, North Carolina: continental slope; Haiti
<b>441</b>	2019-05-14	2019-08-30	108	30.4–38.2	79.3–65.8	Off Georgia–North Carolina: continental slope; off Virginia: high seas
465	2019-05-14	2019-08-01	79	31.1–39.8	77.2–50.5	Off Delaware, Maryland: high seas; mid-Atlantic
463	2019-05-14	2019-05-30	16	32.1–34.6	77.4–74.2	Off North Carolina: continental slope

significant for wing cord ( $\bar{x}_{\text{Dark}(5)} = 289.3$  mm vs.  $\bar{x}_{\text{Light}(5)} = 303.2$ ;  $p < 0.05$ ;  $t = -3.6$ ) and culmen length ( $\bar{x}_{\text{Dark}(5)} = 32.5$  mm vs.  $\bar{x}_{\text{Light}(5)} = 35.4$ ;  $p < 0.05$ ;  $t = -5.0$ ) (Table 1;  $p > 0.05$  for all other comparisons). All light and intermediate forms were molting at least 2 flight feathers, but none of the dark forms were molting (Table 1). Deployed PTTs ranged from 1.85–2.30% of body mass (mean: 2.16%). Processing time ranged from 13–23 min (mean: 18 min) per individual.

Black-capped petrels were tracked for  $11\text{--}255 \pm 74.2$  d (mean: 102.1 d; median: 108.5 d; Table 2, Fig. S3), resulting in 1021 bird-tracking days. We tallied 4656 PTT locations; 73% of all locations were calculated from 4 (or more) Argos messages (location classes 0–3; Table S1). Of all locations, 37% were accurate to <1500 m and 84% were accurate to <10 km. The maximum error radius for locations of class LC 0 (defined by an error radius >1500 m but with no upper limit) was 216 km (Table S1), but the 95<sup>th</sup> percentile for this class was 17.5 km. Refitted locations ( $n = 4142$ ) had a mean ( $\pm$ SE) precision of  $5.1 \pm 2.5$  km for longitude and  $4.4 \pm 2.4$  km for latitude.

### 3.1. Spatial analysis

We had a sufficient number of locations for 7 individuals to be included in the spatial analysis: 3 dark forms and 4 light forms. All individuals ranged from 28.4–43.0° latitude, with the exception of 2 trips to the vicinity of Hispaniola at the end of the tracking period (i.e. at the onset of the next breeding period) (Table 2, Figs. 2 & S2). These 2 trips occurred outside of the study area, and we did not include them in the spatial analysis. Tracked petrels remained west of 60° W, except for one individual that was last located at approximately 50° W (ID 465). Locations were concentrated along the western wall of the Gulf Stream, over the outer continental shelf of the USA. Petrels were located in the interior of the Stream itself and occupied the eastern wall of the Stream and the Sargasso Sea infrequently. One individual (ID 462) utilized the western half of the Sohm Plains, traveling as far north as the Canadian continental shelf off Banquereau Bank.

Overall, birds of the dark form (core area: 87 344 km<sup>2</sup>; home range: 291 794 km<sup>2</sup>) occupied a more extensive core area than birds of the light form (core area:



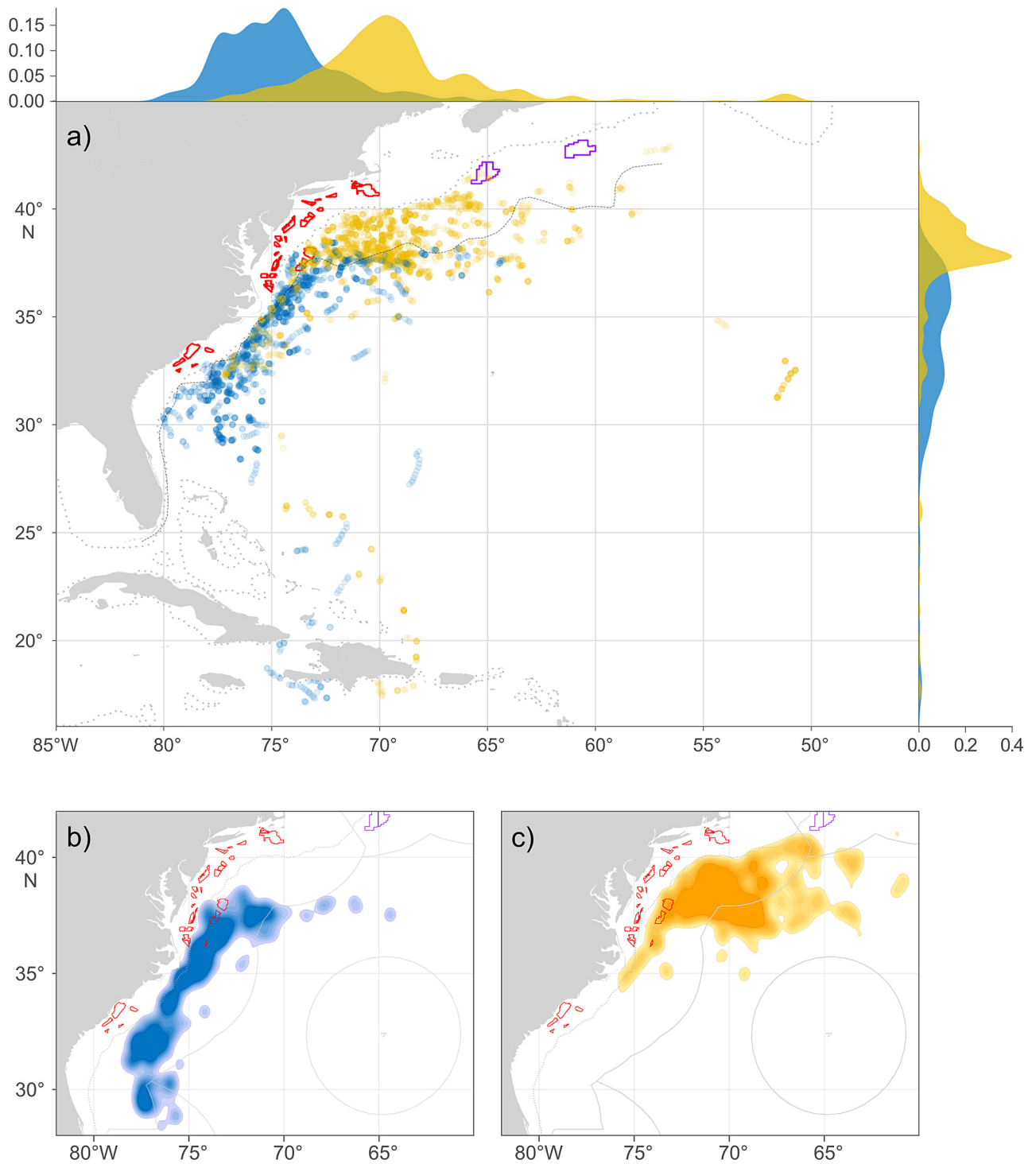


Fig. 2. Distribution of black-capped petrels in the western North Atlantic tracked from (a) May 2019–January 2020 and (b,c) May 2019–August 2019. For all panels, blue represents dark forms and yellow represents light forms. (a) Locations of black-capped petrels (outliers were removed) with frequency distributions of longitude and latitude of dark-form birds and light-form birds overlaid on the top and right border of the panel. (b) Utilization distributions (UDs) of dark black-capped petrels. (c) UD of light black-capped petrels. For (b) and (c), shading ranges from 50% UD (darker color) to 90% UD (lighter color). Dotted grey line: the 250 m isobath; grey polygons: exclusive economic zones; purple polygons: petroleum leases; red polygons: offshore wind leases

Table 3. Summary statistics, significance, and effect size of geographic distributions of black-capped petrels tracked from May 2019–August 2019, grouped by phenotype (n = 3 dark, n = 4 light). p-values and effect sizes are from Wilcoxon rank sum tests. Sample sizes correspond to the number of tracking locations

	Dark (n = 1133)					Light (n = 1771)					p	Effect size
	Median	Mean	Min.	Max.	SD	Median	Mean	Min.	Max.	SD		
Latitude (°N)	35.20	34.50	28.38	38.45	2.73	38.10	38.10	32.29	41.45	1.24	<0.005	1.86
Longitude (°W)	74.60	74.40	78.35	64.27	2.62	69.80	69.60	77.12	60.67	2.82	<0.005	1.78

81 987 km<sup>2</sup>; home range: 356 156 km<sup>2</sup>) but had a more limited home range. Dark and light forms had significantly distinct distributions (Table 3, Fig. 2). The light form had a narrower and significantly more northerly latitudinal range than the dark form and utilized deeper waters in its core area than the dark form (Table 4, Figs. 2 & S4–S6). In addition, both forms showed extensive longitudinal ranges, with the dark form having a significantly more westerly distribution (Table 3, Fig. 2). The dark morph utilized significantly warmer waters in its core area than the light form in its core area (Table 4, Figs. S5 & S6). Phenotype was a strong predictor of both latitude ( $|t| = 19.5$ ,  $p < 0.005$ ) and longitude ( $|t| = 18.9$ ,  $p < 0.005$ ) (Table 5).

BAs indicated limited overlap in home ranges (BA = 0.29) and almost no overlap in core areas (BA = 0.03) of dark and light forms. The area of overlap corresponded to 6.0 and 6.4% (50% UD), and 35.4 and 28.9% (90% UD) of the areas used by dark and light forms respectively (Table S2).

Location derived from this tracking study indicated that black-capped petrels occurred in the EEZs of 3 countries plus international waters (Fig. 2, Table S3). US waters accounted for most of the use areas. The proportion of core areas for dark and light forms that occurred in the US EEZ was 99.2 and 74.4% respectively. The proportion of home range areas for dark and light forms that occurred in the US EEZ was 77.4 and 56.2% respectively. The core areas (dark form: 0.8%; light form: 25.6%), and home ranges of both forms (dark form: 15.2%; light form: 41.9%) also overlapped with international waters. The Canadian EEZ was utilized by 1 individual of the light form (home range: 1.9%). The home range of the dark form also overlapped with the Bahamian EEZ (7.4%).

Black-capped petrels occurred in 6 marine ecoregions (including high seas) (Table S4, Fig. S7). The dark form was most present in the Carolinian (50.1% of core area and 39.3% of home range) and Virginian regions (36.7% of core area and 28.3% of home range). The light form was mostly limited to the Virginian region (71.0% of core area and 39.9% of home range) and high seas (29.0% of core area and 47.8%

of home range). The dark form made incursions into the high seas (5.8% of core area and 20.8% of home range) and Bahamian region (7.4% of core area and 11.6% of home range). In its home range, the light form seldom utilized the Gulf of Maine/Bay of Fundy (8.6%), Carolinian (3.6%), and Scotian Shelf regions (0.1%).

### 3.2. Marine threats

Petrels in our study were exposed to mercury concentrations in the 90<sup>th</sup> quantile of global levels in both their core areas (dark:  $\bar{x}_{\text{Hg}} = 9.75 \times 10^{-10}$  mol m<sup>-3</sup>; light:  $\bar{x}_{\text{Hg}} = 9.44 \times 10^{-10}$  mol m<sup>-3</sup>) and home ranges (dark:  $\bar{x}_{\text{Hg}} = 9.55 \times 10^{-10}$  mol m<sup>-3</sup>; light:  $\bar{x}_{\text{Hg}} = 9.10 \times 10^{-10}$  mol m<sup>-3</sup>; 90<sup>th</sup> quantile:  $9.17 \times 10^{-10}$  mol m<sup>-3</sup>) (Table 4, Figs. 3 & S5). At the home range level, the dark form was exposed to mercury significantly more than the light form, with a large effect size ( $p = 0.001$ ,  $|d| = 0.90$ ). Differences between forms in the 50% UD, or between UDs within forms, were not significant ( $p > 0.05$ ).

Exposure to microplastics was significantly higher for the dark form compared to the light form at the scale of the entire home range (dark:  $\bar{x}_{\text{plastic}} = 247.55$  g km<sup>-2</sup>; light:  $\bar{x}_{\text{plastic}} = 154.92$  g km<sup>-2</sup>), with a large effect size ( $p < 0.005$ ,  $|d| = 0.80$ ) (Table 4, Figs. 3 & S5). Differences between forms in the 50% UD, or between UDs within forms, were not significant ( $p > 0.05$ ).

Exposure to commercial fishing occurred within 13.0 and 2.6% of the core areas, and 10.5 and 14.0% of the home ranges of dark and light forms, respectively (Table 4, Fig. S5). This exposure occurred in a strip of waters located along the continental shelf from Georges Bank to Hatteras as well as on the continental plateau off the US states of South Carolina and Georgia. In the dark form, average fishing effort equated to 16.8 fishing hours per 0.1° cell in the core area, and 12.4 fishing hours per cell in the home range. Summed fishing effort equated to 1848 fishing hours (50% UD) and 3694 fishing hours (90% UD). In the light form, average fishing effort equated to

Table 4. Characteristics of environmental variables and threat exposure for core area (50% utilization distribution [UD]) and home range (90% UD) of dark and light forms of black-capped petrels tracked from May 2019–August 2019. Sample size (n = number of raster cells in the UD), mean, range, standard deviation, interquartile range (IQR), and p-values of Wilcoxon rank sum tests and Cohen's d effect size are provided. For fisheries and ship traffic, proportions of UDs affected, and UD-wide sums of fishing effort and ship counts are provided. SST: sea surface temperature; Hg: mercury. **Bold** lettering denotes significant difference (p < 0.05) and moderate or large effects. Effect size: (\*\*) moderate; (\*\*\*) large

Variable	UD	Dark						Light						p	Effect size				
		n	Prop.	Sum	Mean	Min.	Max.	SD	IQR	n	Prop.	Sum	Mean			Min.	Max.	SD	IQR
Depth (m)	50	265659	-	-	-2094.7	-4152	-6	1120.1	2103	26144	-	-	-3418.5	-5030	-1778	670.0	1151	<0.005	1.43***
	90	88628	-	-	-2637.0	-5143	-5	1485.6	2746	113485	-	-	-3488.9	-5417	-5	1351.2	1934	<0.005	0.60***
SST (°C)	50	1063	-	-	27.4	23.8	28.6	1.11	1.34	1045	-	-	24.4	21.5	26.9	1.47	2.81	<0.005	2.30***
	90	3544	-	-	26.9	22.3	28.7	1.46	1.83	4539	-	-	24.1	14.9	28.2	2.31	3.50	<0.005	1.40***
Hg (×10 <sup>-10</sup> mol m <sup>-3</sup> )	50	6	-	-	9.75	9.51	9.96	0.16	0.13	7	-	-	9.44	9.00	10.20	0.40	0.40	0.07	0.97***
	90	28	-	-	9.55	8.55	1.04	0.40	0.42	38	-	-	9.10	8.03	10.20	0.56	0.81	<0.005	0.90***
Plastic (g km <sup>-2</sup> )	50	6	-	-	149.4	86.6	205.8	44.7	59.2	7	-	-	133.0	82.0	198.1	37.9	37.9	0.43	0.40***
	90	28	-	-	247.6	85.7	730.8	152.9	154.8	38	-	-	154.9	46.3	386.5	74.5	74.5	<0.005	0.80***
Fishing effort (h)	50	113	12.9	1847.9	16.8	0.2	197.2	30.0	15.9	22	2.6	175.7	8.0	0.6	25.1	8.7	10.3	0.62	0.32**
	90	299	10.5	3693.8	12.4	0.2	197.2	22.3	12.7	513	14.0	23503.3	45.0	0.2	1987.1	143.9	39.3	<0.005	0.29**
Ship traffic (count)																			
Cargo	50	1260	97.3	181946	144.4	0	1911	259.3	113.1	1329	99.7	21789	16.4	0	150	15.7	14.8	<0.005	0.71***
	90	4152	96.4	371785	89.5	0	1911	201.5	60.1	5124	88.8	225780	44.1	0	1911	146.3	24.1	<0.005	0.26**
Tanker	50	1234	95.3	32618	26.4	0	166	29.5	28.7	1252	93.9	10169	8.1	0	634	7.8	8.6	<0.005	0.85***
	90	3912	90.9	69214	17.7	0	195	24.3	17.8	4468	77.5	56862	12.7	0	166	19.8	13.4	<0.005	0.23**
Other	50	1277	98.6	303318	237.5	0	2701	410.0	176.6	1333	100.0	37124	27.8	0	235	26.1	26.7	<0.005	0.73***
	90	4241	98.5	650004	153.3	0	4194	334.9	95.8	5341	92.6	430136	80.5	0	2883	246.2	46.3	<0.005	0.25**

8.0 fishing hours per 0.1° cell in the core area, and 45.8 fishing hours per cell in the home range. Summed fishing effort equated to 176 fishing hours (50% UD) and 23 503 fishing hours (90% UD). At the home range level, the light form was exposed to fisheries significantly more than the dark, with a moderate effect size (p < 0.005, |d| = 0.29) (Table 4, Fig. 3).

Although petrels were exposed to shipping activity in most of their core areas and home range (range: 77.5–100.0% of overlap; Table 4, Fig. S5), major shipping routes generally did not overlap with petrel distribution, except for the Chesapeake–Charleston segment in the area of Cape Hatteras. On average in core areas, the dark form was largely more exposed than the light form to cargo ships, tankers, and other types of vessels (Table 4, Fig. S8). On average in home ranges, the dark form was moderately more exposed than the light form to cargo ships, tankers, and other types of vessels (Table 4, Fig. S8).

One black-capped petrel overlapped with active hydrocarbon exploration areas 2435 (n = 3 locations, 6 November) and 2436 (n = 5 locations, 23 and 24 August), in Nova Scotia, Canada (Fig. 2, Table S5). No individuals overlapped with active leases for wind energy. The nearest active wind energy lease area to a petrel location was in North Carolina (29.5 km from location) (Table S5). For wind energy production in the Central Atlantic, 4 individuals overlapped with proposed lease area E-1, 3 with E-2, and one with F-2 (Tables S5 & S6). Three individuals were present in 2 of these proposed leases, and 2 individuals were present in one lease only. In 4 cases, individuals used the same lease area twice, with more than 6 d between visits (mean: 44.8 d between visits, maximum: 98 d). The home range of the dark form slightly overlapped with the active wind lease area in North Carolina (137.3 km<sup>2</sup>, 0.05% of the home range). Proposed Central Atlantic wind areas overlapped with core areas (dark: 1.1% of core area; light: 0.9%) and home ranges (dark: 1.1% of home range; light: 1.0%) of both forms (Figs. 2 & S9, Table S6); 14% of the proposed Central Atlantic wind area D was in the home range

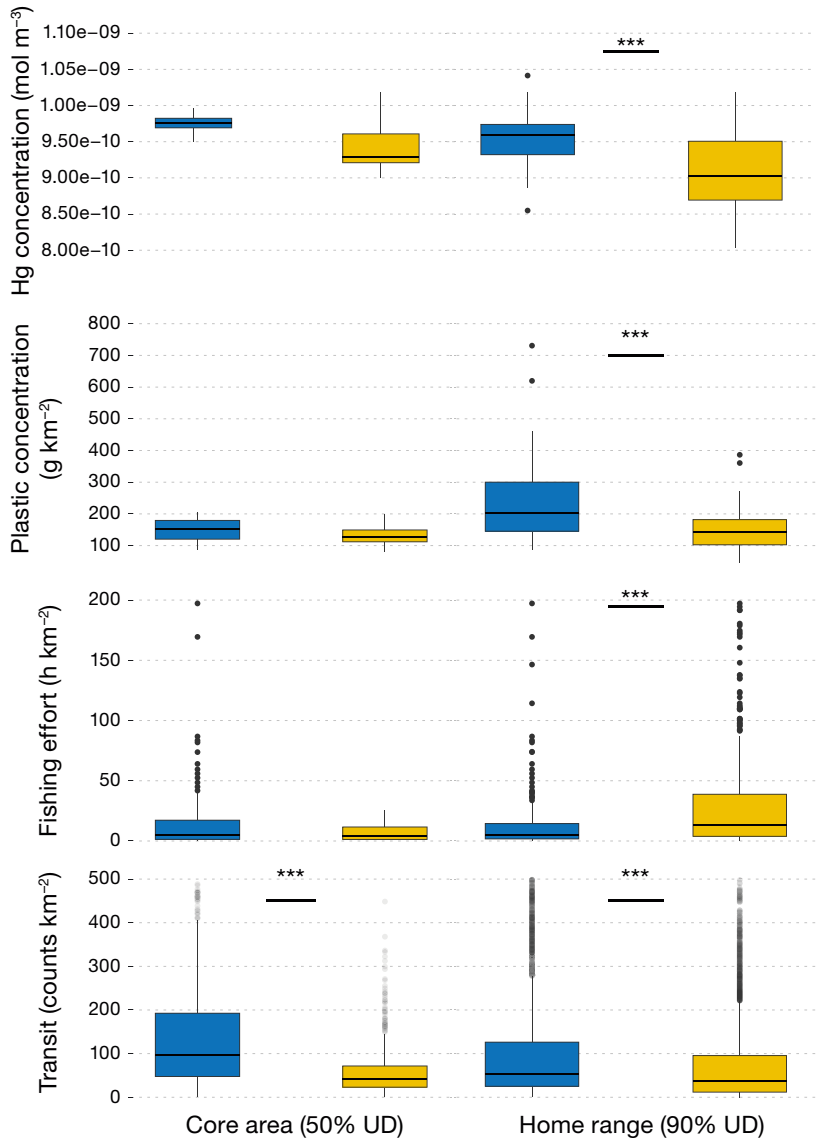


Fig. 3. Distribution of values of threat exposure in the core area and home range of black-capped petrels tracked from May 2019–August 2019. Blue represents dark forms and yellow represents light forms. Solid lines within boxes: median; box edges: quartiles; whiskers extend to 5<sup>th</sup> and 95<sup>th</sup> percentiles; circles: data beyond the 95<sup>th</sup> percentile. \*\*\*p < 0.005 (Wilcoxon sum rank test). Note the additional scale for fishing effort in home ranges

of the dark form; 81–100 % of E-1, E-2, and F were in the home range of both forms; 59 and 100 % of E-2 and F (respectively) were in the core area of the dark form; and 32 and 5 % of E-1 and E-2 (respectively) were in the core area of the light form (Table S6, Fig. S9).

#### 4. DISCUSSION

We used tracks from 7 black-capped petrels captured at sea to further enhance our understanding of the spatial distribution of the species in the western North Atlantic. Color forms appeared to differ in their non-breeding distributions and hence their macro-scale exposure to marine threats, potentially leading to phenotypically specific ecological niches and differential exposure to ecological drivers of phenotypic divergence (Medrano et al. 2022).

##### 4.1. Marine distribution

More than 5500 at-sea records of black-capped petrels have been confirmed in the US EEZ since 1938 (Sussman & US Geological Survey 2014, Jodice et al. 2021). Together with data from the Caribbean Sea, these records have been used to infer the global range and distribution of the species (Simons et al. 2013, Winship et al. 2018, Leopold et al. 2019, Jodice et al. 2021, Satgé et al. 2023) (Fig. S10). For black-capped petrels, tracking data are limited to the results of 2 studies, each with a sample size of 3 tracked individuals (Jodice et al. 2015, Satgé et al. 2019). Covering a much larger spatial extent than these previous efforts, our study provides a substantial increase in data available to assess the marine distribution of the species. At the species level, our data set showed high overlap with data from at-sea surveys in and around the Hatteras hotspot (i.e. an area similar to the core area of the dark form in our study). Both systematic and

Table 5. Characteristics of generalized linear mixed models predicting latitudinal and longitudinal distributions of black-capped petrels tracked from May 2019–August 2019. Models are ordered by rank of prediction of latitude. The best-performing models (Akaike’s information criterion  $\Delta AIC < 2$ ) are shown in **bold**

Model	Latitude			Longitude		
	Rank	$\Delta AIC$	Weight	Rank	$\Delta AIC$	Weight
<b>1 + Morph2</b>	<b>1</b>	<b>0.00</b>	<b>0.999</b>	<b>1</b>	<b>0.00</b>	<b>0.652</b>
<b>1+ Morph2 + Sex</b>	<b>2</b>	<b>1.27</b>	<b>0.3455</b>	<b>2</b>	<b>1.27</b>	<b>0.3455</b>
1 (Intercept)	2	15.98	0.001	3	11.60	0.002
1 + Sex				4	13.31	0.001

opportunistic at-sea survey data, however, highlighted an area absent from use in our study: the Charleston Bump/Hoyt Hills (Fig. 1). This area, located ~100 km southeast of Charleston, South Carolina, and to the northwest of the Blake Spur has been a historical hotspot for black-capped petrel observations (Haney 1987, eBird 2022) but petrels in our study and in Jodice et al. (2015) showed very limited use of this area, possibly as an artefact of small sample sizes. In contrast, tracking data from the current study showed a much wider use of pelagic waters off the Middle Atlantic Bight and most of the Virginian marine ecoregion compared to at-sea surveys. Most of the population is strongly concentrated in Gulf Stream waters in the Carolinian ecoregion, but petrels in the Virginian region utilized an area of pelagic waters between the northern wall of the Gulf Stream and the continental slope. Finally, except in very limited locations within ca. 100 km of Cape Hatteras, tracked black-capped petrels never occurred on the continental shelf. This is consistent with at-sea surveys in which, except in 2 areas around Cape Hatteras and the Charleston Bump, petrels were only recorded on the continental slope and rise.

By specifically targeting individuals of distinct phenotypes during the capture process, we also were able to test if both phenotypes had similar non-breeding distributions. Observations from opportunistic surveys (e.g. pelagic birding trips and birding data) suggest that dark-form individuals primarily use central/Carolinian waters and light-form individuals primarily use northern/Virginian waters (eBird 2022), but these records are insufficient for range determination due to their non-systematic nature and inconsistent methodology in reporting the location of observations. Nevertheless, our data set supports this suggestion by showing similar spatial separation in the distributions of the 2 forms. Petrels of the dark form tracked in our study appeared to occupy pelagic Carolinian waters of the South Atlantic Bight and were concentrated within a ~200 km strip of waters extending eastward from the continental shelf into the Gulf Stream. In contrast, light individuals appeared to occupy pelagic Virginian waters of the Middle Atlantic Bight, extending over a wider area between the continental shelf and the northern edge of the Gulf Stream. Consequently, the dark form frequented warm surface waters of the Gulf Stream and, in fact, appears to be one of the seabirds in the western North Atlantic most strongly associated with the Gulf Stream (Winship et al. 2018). In contrast, the light form utilized an area of significantly deeper and colder waters characterized by a

wider range of temperatures and more complex oceanic processes compared to the Gulf Stream (Lai & Richardson 1977, Kang & Curchitser 2013). Despite this apparent geographic separation between the 2 forms, light-form petrels remain influenced by the Gulf Stream, whether by latitudinal variability in the path of the Gulf Stream itself or through anticyclonic eddies that diffuse northward (Kang & Curchitser 2013, Seidov et al. 2019). Therefore, the difference in SST that we observed between phenotypes may be more an indication of macroscale differences in habitat availability than active selection of temperate waters by light-form petrels. Indeed, within an overall area of temperate oceanic waters, it is likely that light-form petrels select areas directly influenced by warm oligotrophic waters of anticyclonic eddies (Kang & Curchitser 2013). This selection of eddies has been observed in several species of subtropical and tropical seabirds (e.g. Haney 1986, Hyrenbach et al. 2006, Tew Kai & Marsac 2010). Generally, anticyclonic eddies tend to capture material drifting at the surface (including plankton and fish larvae) and cyclonic eddies tend to expel it to peripheral fronts, hence both potentially increasing prey availability to seabirds at the mesoscale (Cotté et al. 2007). Thus, the more pelagic distribution of the light form may be a response to the lower predictability of anticyclonic eddies (Tew Kai & Marsac 2010) rather than to Gulf Stream fronts, and to a patchier geographic availability of prey across the area (Weimerskirch 2007, Clay et al. 2017).

#### 4.2. Sample size and representativeness of tracked individuals

As is often the case with threatened species or species that use remote areas, the small sample sizes available in our study limit the scope of inference from our results. At the species level, this data set doubles the amount of data available for the black-capped petrel from previous tracking efforts (Jodice et al. 2015, Satgé et al. 2019) and provides detailed information on its marine use in the western North Atlantic. At the phenotype level, the sample size for each form is limited to  $n = 3$  (dark) and  $n = 4$  (light) individuals but may still be sufficient to suggest trends in distributions (Sequeira et al. 2019) or, at a minimum, to develop informed hypotheses for further testing. In general, the home range analysis we used is positively driven by the number of individuals included in calculations, with the area of occupancy increasing with each



new individual and stabilizing around a threshold value (Soanes et al. 2013, Thaxter et al. 2017). In our study, the area of overlap between phenotypes could therefore increase with increased sample size. However, although the observed difference in distributions may be an artefact of the small sample size, the described distributions are in agreement with available pelagic observations (eBird 2022).

Differences in the marine distribution between age groups and/or breeding status may occur within species of pelagic seabirds and therefore could contribute to the dual distribution we observed in our study (e.g. a confounding effect of age or breeding status) (Medrano et al. 2022). For example, juveniles, immatures, and adults may have different spatial or temporal distributions (Péron & Grémillet 2013, Frankish et al. 2020), and failed breeders may have similar or different distributions compared to non-breeders (Phillips et al. 2005, Bogdanova et al. 2011). Petrels utilizing waters off Cape Hatteras in May could be either active breeders (Jodice et al. 2015, Satgé et al. 2019), failed breeders, non-breeders (including skip-breeders, i.e. mature petrels who bred successfully the previous year and skipped breeding in the current year; Hunter et al. 2000, Taylor et al. 2011), or juveniles and immatures (<4 yr of age; Simons et al. 2013). The reproductive status of petrels tracked in this study was not known at the time of capture, and therefore we are unable to accurately assess whether distribution differed by reproductive status. Additionally, except for 2 individuals that were tracked to Hispaniola towards the end of the tracking period during our study (which were likely adults of breeding age), we cannot determine if the petrels were immatures or adults. Nonetheless, we suggest that the dark individuals we captured in May 2019 were unlikely to be adults currently breeding because fledging in the dark form does not occur until after mid-June (Simons et al. 2013, E. Rupp pers. comm.) and chick-rearing petrels appear to remain in the Caribbean Sea and seem less likely to occupy Gulf Stream waters (Jodice et al. 2015). In contrast, previous tracking data indicate that failed breeders vacate the Caribbean and travel to Gulf Stream waters (Jodice et al. 2015). Therefore, we suggest that dark individuals were more likely to be immatures or adults that had skipped breeding or failed at breeding.

The breeding status of light-form birds is more challenging to predict due to limited data from this phenotype. Preliminary data gathered from camera traps from nests of light-form petrels in Valle Nuevo, Dominican Republic, suggest, however, that fledging

occurs in late April; hence, our captures may have been post-breeders (E. Rupp pers. comm.). These data, along with the limited extent of the species' distribution in the western North Atlantic and the fact that the dual phenotypic distribution is supported by pelagic observations, suggest that differences in the distribution of immatures, unsuccessful, successful, or non-breeders may be limited in scale in black-capped petrels and that the dual distribution we observed may be driven primarily by phenotype.

Our results also suggest that the dual marine distribution of dark and light forms may be related more to phenotype than date. All individuals were captured within a 25 km radius within 6 d in May 2019. Following captures, most light-form petrels traveled north and stayed in the Virginian ecoregion until the fall, except for one individual that remained in the Carolinian region until June, at which point data transmission ceased. Dark-form petrels remained in the Carolinian region until late July–early August, when they moved to the Virginian region for ca. 1 mo ( $n = 3$ ). Information is lacking for the remainder of the annual cycle, but the visits to suspected breeding sites on Hispaniola at the end of the tracking period suggest that some degree of tracking nevertheless occurred for most of the non-breeding period. Therefore, although limited by a small sample size, our analysis confirms that phenotypes of the black-capped petrels have distinct non-breeding distributions, independent of the time of the year.

### 4.3. Exposure to marine threats

Although trait-based approaches are preferred for threat assessments (Butt & Gallagher 2018, Zhou et al. 2019, Richards et al. 2021), substantial data gaps on the biology and ecology of black-capped petrels and uncertainties about the effect of threats, notably marine ones (Wheeler et al. 2021), limit their applicability. Nonetheless, a review of marine threats and likely exposure based on spatial distribution of the species in the western North Atlantic is warranted (Wheeler et al. 2021). Here, we assess exposure at the macro-scale sensu Burger et al. (2011) and Waggett & Scott (2014); i.e. the occurrence of the species of concern within the geographical area of interest (e.g. the broad area where the threat occurs and overlaps with the species). We assume here that spatial overlap provides an adequate proxy to assess exposure (Michael et al. 2022), with the caveat that overlap at the macroscale likely overestimates actual

overlap at the meso- and microscale (i.e. at finer spatial and temporal scales; Torres et al. 2013). Our limited sample size may negatively affect the calculation of UDs but likely provides an initial, possibly conservative, estimate of potential risk for dark and light forms of the species.

#### 4.3.1. Mercury

Our study shows that petrels were exposed to mercury concentration in the mixed layer in the 90<sup>th</sup> quantile of global levels. Within the home ranges we calculated, the dark form was significantly more exposed than the light form. Although the mercury model we used fully integrates biogeochemical cycling (Kwon & Selin 2016), it is unclear how well global models like the one by Zhang et al. (2014) capture spatial variation in actual mercury concentrations in the food chain. Nevertheless, our results are consistent with the high levels of total mercury measured in feathers of black-capped petrel (Simons et al. 2013, Y. Satgé unpubl. data, K. Sutherland unpubl. data). Further studies measuring mercury levels in both phenotypes appear warranted to better assess possible repercussions on the meta-population.

#### 4.3.2. Marine plastic

Concentrations of microplastics in black-capped petrel use areas were generally lower than concentrations observed in the study area (Fig. S5). Nevertheless, our data indicate that macro-scale exposure to microplastics was higher in the dark form compared to the light form. The dark form used waters influenced by the southwestern region of the North Atlantic Subtropical Gyre, where the Gulf Stream and Antilles Current converge and where microplastics accumulate (Law et al. 2010, Enders et al. 2015, van Sebille et al. 2015). In contrast, the light form used northern areas where plastic accumulation was limited due to oceanic and atmospheric processes limiting accumulation in the upper oceanic layer (Law et al. 2010). However, the majority of anticyclonic eddies originating from the Gulf Stream are located in the northern areas of the western North Atlantic Ocean, and anticyclonic eddies concentrate microplastics (Brach et al. 2018). Therefore, light-form black-capped petrels may be locally more exposed than dark-form individuals at the meso and micro scale.

#### 4.3.3. Fisheries

Our macro-scale assessment shows limited spatial overlap with fisheries (2.6–13% of core areas and 10.5–14% of home ranges). Relatively high levels of fishing effort (8–17 h cell<sup>-1</sup> in core areas and 12–46 h cell<sup>-1</sup> in home ranges) are localized to limited areas on the outer continental shelf. Within our study area, 4 fisheries were responsible for most of the fishing effort in black-capped petrel use areas: demersal trawl, bottom-set longlines, pelagic longlines, and line fishing (Guiet et al. 2019, Global Fishing Watch 2021). Although the relationship between overlap and by-catch is complex and requires information that is currently missing for the black-capped petrel (Wheeler et al. 2021), our results suggest that overlap does exist between endangered black-capped petrels and fisheries in the US EEZ in the western North Atlantic and that data collected at a finer spatial and temporal scale may benefit conservation assessments for the species.

#### 4.3.4. Marine traffic

Given their distribution near major shipping hubs, most, if not all, of the use areas of black-capped petrels are impacted by some level of marine traffic (between 77.5 and 100% of use areas), mostly from cargo ships and other vessels (including fishing vessels). The area of highest overlap was in neritic waters off Cape Hatteras, which is a hotspot of black-capped petrel activity within the core area of the dark form. Our analysis highlights exposure to marine traffic in the US EEZ, but our results are limited by the availability of open-access marine traffic data in the Canadian EEZ (including shipping channels to and from Nova Scotia) and the high seas. Our results should therefore be considered a conservative assessment of black-capped petrel exposure to marine traffic in the western North Atlantic.

#### 4.3.5. Marine energy

There is currently no active petroleum production in the western North Atlantic, but active exploratory leases are present in Canadian waters, and one individual in our study was present in leases 2435 and 2436 (located on Georges Bank). On the US Atlantic coast, there are currently no active oil and gas leases (<https://www.boem.gov/oil-gas-energy/oil-and-gas-atlantic>; accessed 22 March

2023), but several leases for the production of offshore wind energy are in active states of development in the Middle Atlantic Bight (<https://www.boem.gov/renewable-energy/state-activities>; accessed 22 March 2023). Because wind energy production is currently logistically constrained to neritic waters, black-capped petrels in our study were not present within active leases. The closest location of a black-capped petrel to an active lease area was ca. 30 km from lease OCS-A 0508 in waters offshore of North Carolina. However, as of late 2022, planning areas have been proposed on the outer continental shelf and rise of the Central Atlantic coast of the USA (Randall et al. 2022) (Fig. 1). These areas overlapped with home ranges and core areas of both phenotypes (Figs. 2 & S9). Therefore, our data suggest that black-capped petrels should be considered for inclusion in ecological assessments and mitigations when future offshore wind energy is developed and produced in these pelagic parts of the western North Atlantic.

## 5. CONCLUSIONS

Our data suggest that dark and light phenotypes of the black-capped petrel differed in the spatial extent of waters they occupied within the western North Atlantic. The 2 phenotypes may be using different foraging habitats, although the small sample size and coarse scale of our data set prevent detailed modeling of habitat use at this time. Differences in morphology (e.g. bill size) between phenotypes also suggest that dark and light petrels may select different prey and use different foraging strategies, which raises several new questions about the ecological niche and niche partitioning of black-capped petrels at sea. With increased sample size, further research could focus on detailed analyses of habitat selection and elucidate the causes and consequences of this dual distribution between phenotypes. If new breeding areas are discovered for the species, individual-based tracking may be considered to further assess the global connectivity of this endangered species and may identify areas of exposure to anthropic stressors for specific breeding populations (Wheeler et al. 2021).

*Data availability.* The tracking data set generated during the current study is available in the Movebank data repository (Movebank Study ID 746910348): <https://doi.org/10.5441/001/1.0786vv78>.

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